Docket: AM-5209.D2



## **Introductory Comments**

CERTIFICATE OF MAILING

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Date: 6 April 2004

Ingrid C. Mallory

Fee Only

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ling CHEN et al.

Attorneys Docket:

AM-5209.D2

Serial No.:

10/693,775

Art Unit No.:

2813

Filed:

October 24, 2003

Examiner:

unknown

For: "TANTALUM BARRIER LAYER FOR COPPER METALLIZATION"

Commissioner for Patents Alexandria, VA 22313-1450

## PRELIMINARY AMENDMENT UNDER 37 CFR §1.115

Sir:

In Preliminary Amendment for entry before initial examination, please amend the above application as follows:

-1-

14/22/2004 NBER 11 FC+1202

450.00 GP 3450.00 GP

00000026 1069377

Adjustment date: 05/18/2004 TBELL1

04/22/2004 HEERKE 00000026 10693775

01 FC:1202

-450.00 OP

378.68 67

10693775
Application or Docket Number
AM - 5209. D2

## PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2003

										OTHER		
TOTAL CLAIMS				16				TYPE [	FEE	OR <b>7</b>		
FOR			NUMBER FILED		NUM	NUMBER EXTRA		BASIC FE	<del>                                     </del>	1	RATE BASIC FEE	FEE 770.00
TOTAL CHARGEABLE CLAIMS			/6 minus 20=					X\$.9=		┧┉	V242	770.00
INDEPENDENT CLAIMS			3 minus 3 =		*			X43=	├	OR		
MULTIPLE DEPENDENT CLAIM PRESENT								740=	<del> </del>	OR	X86=	
* If the difference in column 1 is less than zero, enter "0" in column 2								+145=		OR	+290=	
CLAIMS AS AMENDED DARTH									TOTAL	77-0		
(Column 1) (Column 2) (Column 3)							_	SMALL	ENTITY	OR	OTHER SMALL E	
<b>AMENDMENT A</b>		CLAIMS REMAINING AFTER AMENDMENT		HIGHE NUME PREVIO PAID F	BER	PRESENT EXTRA		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
	Total	* 41	Minus	** 2	20	= 2/		X\$ 9=		OR	X\$18=	378
	Independent	. 8	Minus	***	3	= 5		X43=		OR	X86=	430
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM								+145=			+290=	100
							. L	TOTAL		OR OR	TOTAL	dAd
		(Column 1)		(Colum	ın 2)_	(Column 3)	A	DDIT. FEE	· .		ADDIT. FEE	000
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHE NUMB PREVIOU PAID F	ER USLY	PRESENT EXTRA		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
	T tal	. 41	Minus	4	1	=		X\$ 9=		OR	X\$18=	
	Independent	NTATION OF ML	Minus	***	<u>Z</u>	-		X43=		OR	X86=	
	THOTTHEOL	NATION OF MIC	LIPLE DE	PENDENT	LAIM	<del>. 4</del> .4		+145=		OR	+290=	
TOTAL OR TOTAL											<del>-</del>	
		(Column 1)		(Column	12)	(Column 3)	AL	ODIT. FEE L		д	DDIT. FEE	
LENI C		CLAIMS REMAINING AFTER AMENDMENT		HIGHES NUMBE PREVIOU PAID FO	R	PRESENT EXTRA			ADDI- TIONAL FEE	ſ		ADDI- TIONAL FEE
	Total	*	Minus	**		= .		X\$ 9=		OR	X\$18=	
S L	Independent		Minus	***		=	r	X43=		OR	X86=	
THOT RESERVATION OF MULTIPLE DEPENDENT CLAIM												
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.												
11	* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."  **If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."  **The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the apprepriate box in column 1.											